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No: .../NQ-DH/DFVN-CAF/2025

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HCM city, dated ..... 2025

**DRAFT**

**RESOLUTION  
OF THE ANNUAL GENERAL INVESTORS MEETING FISCAL YEAR 2024 -  
DFVN CAPITAL APPRECIATION FUND (DFVN-CAF)**

- Pursuant to Law on Securities No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam dated 26<sup>th</sup> November 2019 and Law No. 56/2024/QH15 of the National Assembly of the Socialist Republic of Vietnam dated 29<sup>th</sup> November 2024, amend Law on Securities;
- Pursuant to Circular No. 99/2020/TT-BTC of the Ministry of Finance issued on 16<sup>th</sup> November 2020 guiding the operation of securities investment fund management companies;
- Pursuant to Circular No. 98/2020/TT-BTC of the Ministry of Finance issued on 16<sup>th</sup> November 2020 guiding the operation and management of securities investment fund;
- Pursuant to the organization and operation Fund Charter of the DFVN-CAF;
- Pursuant to the Certificate of registration for public fund's incorporation of the DFVN-CAF No. 34/GCN-UBCK issued by the State Securities Commission on 03<sup>rd</sup> January 2019;
- Pursuant to the Meeting Minutes of the DFVN-CAF dated ..... 2025.

**RESOLVED**

**The General Investors Meeting passed Resolution with the following contents:**

**Article 1:** Approve the 2024 audited Financial Statements of the DFVN-CAF<sup>1</sup>.

**Article 2:** Approve the 2025 budget plan for the operation of the Fund Representative Board of the DFVN-CAF.

- ❖ Approve the remuneration of the Fund Representative Board is VND 5,000,000/month/person;
- ❖ Approve the total of 2025 remuneration of the members of the Fund Representative Board of the DFVN-CAF is VND 180,000,000.

**Article 3:** Approve the authorization of the Fund Representative Board.

**3.1** Approve the authorization of the Fund Representative Board to select 01 (one) audit company to perform auditing for the DFVN-CAF in Fiscal year of 2025.

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<sup>1</sup> Published on the Company's website, the link <https://www.dfvn.com.vn/investor-relations/information-disclosure/dfvn-caf/>

**3.2** Approve the authorization of the Fund Representative Board to decide the price of the Fund Management Service to pay to the Fund Management Company.

The DFVN-CAF Fund Representative Board is authorized by General Meeting of Investors to decide on Fund Management Service to pay to Fund Management Company with the following authorization details:

- (a) The Fund Representative Board shall decide to change (increase, decrease) the price of the Fund Management Service but not exceed 1.5%\*NAV/year as stipulated in the DFVN-CAF's Fund Charter (Article 61.1).
- (b) The specific price of the Fund Management Service decided by the Fund Representative Board is disclosed by the Fund Management Company according to regulations, in accordance with the content specified in the Prospectus for application and implementation.
- (c) The application period of the price of the Fund Management Service will be considered by the Fund Representative Board on the basis of working with the Fund Management Company or the Fund Management Company's proposal.
- (d) The Fund Representative Board is entitled to a maximum of two (02) times to decide on the price of the Fund Management Service during the period from the effective date of this Resolution until the next Resolution of the General Meeting of Investors takes effect.

**3.3** Approve the authorization of the Fund Representative Board to decide the price of the service to pay to Supervisory Bank.

**Article 4:** Approve amendments, supplement of the DFVN-CAF Charter, as attached.

**Article 5:** Effectiveness of the Resolution.

This Resolution shall take effect from the signing date. Dai-ichi Life Vietnam Fund Management Company Ltd. (DFVN), the Fund Representative Board and related divisions shall be responsible for implementing this Resolution./.

On behalf of the General Investors Meeting of the DFVN Capital Appreciation Fund (DFVN-CAF).

**Bank ....**  
(Signature)

**Dai-ichi Life Vietnam Fund  
Management Company Ltd.**  
(Signature)

**Fund Representative Board**  
(Signature)